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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: June 3, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **Masaru YAMASHITA**

Appln. No.: **10/814,718**

Filed: **March 31, 2004**

For: **TRANSFER TAPE**

Examiner: **To Be Assigned**

Art Unit: **To Be Assigned**

Attorney Docket No: **12401/5**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement (in dup.), Form PTO-1449, 9 Cited References, Submission of Foreign Priority Document, Priority Document (JP2001-305412)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+ \$145=			+ \$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

June 3, 2004

Date

Tadashi Horie

Tadashi Horie (Reg. No. 40,437)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 3, 2004

Date of Deposit

Tadashi Horie, Reg. No. 40,437

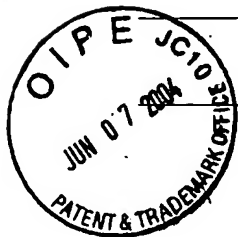
Name of Applicant, Assignee or  
Registered Representative

*Tadashi Horie*

Signature

June 3, 2004

Date of Signature



Our Case No.: **12401/5**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Masaru YAMASHITA

Serial No.: 10/814,718

Filing Date: March 31, 2004

For: TRANSFER TAPE

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. The available translations or portions of the available

translations for the listed documents as required by 37 C.F.R. § 1.98(a)(3)(ii) are enclosed for the convenience of the Examiner.

The references now cited are the following:

**FOREIGN PATENT DOCUMENT**

<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>
JP 04-046070	04/1992	Japan
JP 05-239413	09/1993	Japan
JP 06-008362	02/1994	Japan
JP 07-267478	10/1995	Japan
JP 09-001986	01/1997	Japan
JP 09-071097	03/1997	Japan
JP 2001-240812	09/2001	Japan
JP 2001-192625	07/2001	Japan
JP 2001-098233	04/2001	Japan

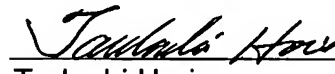
In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to the undersigned attorney's obligation and duties under C.F.R. §§ 1.56 and 1.98(a)(3), English language versions of Japanese Patent Publication JP 07-267478, JP 09-001986, JP 06-008362, JP 09-071097, JP 2001-098233, JP 2001-192625, JP 2001-240812 and JP 05-239413 are provided for the express purpose of providing a concise explanation of those references to the Examiner and the USPTO with an opportunity to evaluate the same. No available translation is available for Japanese Patent Publication No. JP 04-046070; however the figures are relevant to the claimed invention.

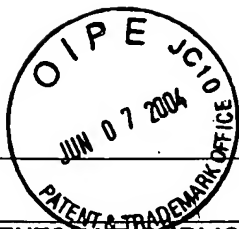
This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/814,718	CASE NO. 12401/5
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE March 31, 2004	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)		APPLICANT(S): Masaru YAMASHITA

#### REFERENCE DESIGNATION

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B					
	B					
	B					
	B					
	B					
	B					
	B					

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B1	H 04-046070	04/20/1992	Japan		No
	B2	H 05-239413	09/17/1993	Japan		Yes
	B3	H 06-008362	02/01/1994	Japan		Yes
	B4	H 07-267478	10/17/1995	Japan		Yes
	B5	H 09-001986	01/07/1997	Japan		Yes
	B6	H 09-071097	03/18/1997	Japan		Yes
	B7	2001-240812	09/04/2001	Japan		Yes
	B8	2001-192625	07/17/2001	Japan		Yes
	B9	2001-098233	04/10/2001	Japan		Yes
	B					
	B					

#### OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER INITIAL		
	B	
	B	
	B	
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	B	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.